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TU Berlin June 2, 2016



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Model Order Reduction for Electrochemistry Simulations 2

Outline

The reduced basis method in a nutshell.

Reduced basis approximation of microscale Li-ion battery models.

- Software design.
- Distributed hierarchical POD computation.



The Reduced Basis Method in a Nutshell

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Abstract Problem Formulation

Consider parametric problems

 $\Phi: \mathcal{P} \to V, \qquad s: V \to \mathbb{R}^{S}$

where

- $\mathcal{P} \subset \mathbb{R}^{P}$ compact set (parameter domain).
- V Hilbert space (solution state space, dim $V \gg 0$, possibly dim $V = \infty$).
- Φ maps parameters to solutions (*hard* to compute).
- s maps state vectors to quantities of interest.

Objective

Compute

$$s \circ \Phi : \mathbb{R}^P \to V \to \mathbb{R}^S$$

for many $\mu \in \mathcal{P}$ or quickly for unknown single $\mu \in \mathcal{P}$.

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Abstract Problem Formulation

Objective

Compute

$$s \circ \Phi : \mathbb{R}^P \to V \to \mathbb{R}^S.$$

- When Φ , *s* sufficiently smooth, quickly computable low-dimensional approximation of $s \circ \Phi$ should exist.
- Could use interpolation scheme. However:
 - How to choose interpolation points?
 - Error control?!
- State space approximation:
 - Find $\Phi_N : \mathcal{P} \to V_N$ s.t. $\Phi \approx \Phi_N$ and dim $V_N =: N \ll \dim V$.
 - W.l.g. can assume $V_N \subset V$ (orthogonal projection).
 - Approximate $s \circ \Phi \approx s \circ \Phi_N$.



State Space Approximation

Main questions

- **1.** Do good approximation spaces V_N exist?
- 2. How to find a good approximation space V_N ?
- 3. How to construct a quickly-evaluable $\Phi_N : \mathcal{P} \to V_N$?
- 4. How to control the approximation errors $\Phi(\mu) \Phi_N(\mu)$, $s(\Phi(\mu)) s(\Phi_N(\mu))$?

We answer these questions for the basic class of

linear, coercive, affinely decomposed problems.

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Problem Class

Linear, coercive problem

 $\Phi(\mu) = u_{\mu} \in V$ is the solution of variational problem

$$a_{\mu}(u_{\mu},v)=f(v) \qquad \forall v\in V,$$

where $a_{\mu}: V \times V \to \mathbb{R}$ is continuous, coercive bilinear form, $f \in V'$. Moreover, $s: V \to \mathbb{R}^{s}$ is linear and continuous.

Linear, coercive, affinely decomposed problem

Additionally:

$$oldsymbol{a}_{\mu} = \sum_{q=1}^{Q} heta_{q}(\mu) oldsymbol{a}_{q} \qquad orall \mu \in \mathcal{P},$$

where $\theta_q : \mathcal{P} \to \mathbb{R}$ continuous, $a_q : V \times V \to \mathbb{R}$ continuous bilinear form, $(1 \le q \le Q)$.



3. Definition of Φ_N

Full order problem

 $\Phi(\mu) = u_{\mu} \in V$ is the solution of variational problem

$$a_{\mu}(u_{\mu},v)=f(v) \qquad \forall v\in V,$$

where $a_{\mu}: V \times V \rightarrow \mathbb{R}$ is continuous, coercive bilinear form, $f \in V'$.

Reduced order problem

For given $V_N \subset V$, let $\Phi_N(\mu) := u_{\mu,N} \in V_N$ be the Galerkin projection of u_{μ} onto V_N , i.e.

$$a_{\mu}(u_{\mu,N},v)=f(v) \qquad \forall v\in V_N.$$

Since a_{μ} is coercive, $u_{\mu,N}$ is well-defined.

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3. Definition of Φ_N

Theorem (Céa)

Let c_{μ} denote the coercivity constant of a_{μ} . Then

$$||u_{\mu} - u_{\mu,N}|| \le \frac{||a_{\mu}||}{c_{\mu}} \inf_{v \in V_N} ||u_{\mu} - v||.$$

Proposition

Let $\varphi_1, \dots, \varphi_N$ be a basis of V_N . If $[a_q(\varphi_l, \varphi_k)]_{k,l}$ are precomputed, reduced probelem can be solved with effort $\mathcal{O}(QN^2 + N^3)$.

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4. Error Control

Proposition

The quantity $\Delta_{\mu}(u_{\mu,N}) := c_{\mu}^{-1} \cdot ||\mathcal{R}(u_{\mu,N})||_{-1} := c_{\mu}^{-1} \cdot ||f(\cdot) - a_{\mu}(u_{\mu,N}, \cdot)||_{-1}$ is a reliable and effective a posteriori estimate for the model reduction error:

$$||u_{\mu} - u_{\mu,N}|| \le \Delta_{\mu}(u_{\mu,N}) \le ||a_{\mu}|| \cdot c_{\mu}^{-1} \cdot ||u_{\mu} - u_{\mu,N}||.$$

Have $\|\mathcal{R}_{\mu}(u_{\mu,N})\|^2 = \left\|f + \sum_{q=1}^{Q} \sum_{n=1}^{N} \underline{u}_{\mu,N,n} a_q(\varphi_n, \cdot)\right\|^2$. Thus, can precompute all cross-terms in inner product evaluation with effort $\mathcal{O}((1 + QN)^2) = \mathcal{O}(Q^2N^2)$.

However, bad numerical stability (half machine precision). Better approach:

Stable estimator decomposition (Buhr, R, 2014)

Project \mathcal{R}_{μ} onto V_N and span $\{f, a_q(\varphi_n, \cdot)\}$ w.r.t. orthonormal bases.

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4. Error Control

Simple output error bound

We have

$$|s \circ \Phi(\mu) - s \circ \Phi_N(\mu)| \le ||s|| \cdot \Delta_\mu(u_{\mu,N}).$$

- ▶ Not very effective: typically, error decays at faster rate than $\Delta_{\mu}(u_{\mu,N})$.
- When a_{μ} symmetric and s = f ('compliant' case):

$$0 \leq s \circ \Phi(\mu) - s \circ \Phi_N(\mu) \leq c_\mu \cdot \Delta_\mu (u_{\mu,N})^2.$$

- For general a_{μ} , s: Improved estimates via dual weighted residual approach.
- ▶ If unknown, c_{μ} can be replaced by arbitrary lower bound $0 < \alpha_{\mu} \leq c_{\mu}$ (→ sucessive constraint method).

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1. Existence of good V_N

Definition

The Kolmogorov N-width $d_N(\Phi(\mathcal{P}))$ of $\Phi(\mathcal{P})$ is given as

$$d_N(\Phi(\mathcal{P})) = \inf_{\substack{V_N \subseteq V \\ \text{lin subsp.} \\ \dim V_N \leq N}} \sup_{u \in \Phi(\mathcal{P})} \inf_{v \in V_N} ||u - v||.$$

Theorem

For linear, coercive, affinely decomposed probelems there are C, c > 0 s.t.

$$d_N(\Phi(\mathcal{P})) \leq C e^{-cN^{1/Q}}$$

Proof

- Φ is holomorphic due to implicit function theorem.
- Use coefficients of truncated power series expansions as basis.

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2. Construction of V_N

Definition (weak greedy sequence)

Let $0 < \gamma \leq 1$ and $s_1, s_2, \ldots \in \Phi(\mathcal{P})$ be such that

 $\inf_{v \in V_{N-1}} \|s_N - v\| \ge \gamma \cdot \sup_{u \in \Phi(\mathcal{P})} \inf_{v \in V_{N-1}} \|u - v\| \qquad V_N := \operatorname{span}\{s_1, \dots s_N\}$

Then (s_n) is called weak greedy sequence for $\Phi(\mathcal{P})$ with parameter γ .

Theorem (DeVore, Petrova, Wojtaszczyk, 2013)

Let (s_n) be a weak greedy series for $\Phi(\mathcal{P})$ with param. γ . Assume there are $C, c, \alpha > 0$ such that

$$d_N(\Phi(\mathcal{P})) \leq C e^{-cN^lpha}$$

Then with $V_N := \operatorname{span}\{s_1, \ldots s_N\}$ we have

$$\sup_{u \in \Phi(\mathcal{P})} \inf_{v \in V_N} \|u - v\| \le \sqrt{2C} \gamma^{-1} e^{-c' N^{\alpha}}, \qquad c' = 2^{-1-2\alpha} c.$$

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2. Construction of V_N

Greedy algorithm with error estimator

Choose snapshots $s_N := u_{\mu_N}$ where μ_N is such that

$$\mu_{N} = rgmax_{\mu\in\mathcal{P}} \Delta_{\mu}(u_{\mu,N-1})$$

Then

$$\begin{split} \inf_{v \in V_{N-1}} \|s_N - v\| &\geq \|a_\mu\|^{-1} \cdot c_\mu \cdot \|u_{\mu_N} - u_{\mu_N, N-1}\| \\ &\geq \|a_\mu\|^{-2} \cdot c_\mu^2 \cdot \Delta_\mu (u_{\mu_N, N-1}) \\ &\geq \|a_\mu\|^{-2} \cdot c_\mu^2 \cdot \Delta_\mu (u_{\mu, N-1}) \geq \|a_\mu\|^{-2} \cdot c_\mu^2 \inf_{v \in V_{N-1}} \|u_\mu - v\| \end{split}$$

Proposition

The greedy algorithm with error estimator generates a weak greedy sequence with parameter $\inf_{\mu \in \mathcal{P}} \|a_{\mu}\|^{-2} \cdot c_{\mu}^2$.

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Summary

- 1. Do good approximation spaces V_N exist? $d_N(\Phi(\mathcal{P})) \leq C e^{-c N^{1/Q}}$
- 2. How to find a good approximation space V_N ? Greedy algorithm with error estimator
- 3. How to construct a quickly-evaluable $\Phi_N : \mathcal{P} \to V_N$? Galerkin projection
- 4. How to control the approximation errors $\Phi(\mu) \Phi_N(\mu)$, $s(\Phi(\mu)) - s(\Phi_N(\mu))$? Residual-based error estimator



Reduced Basis Approximation of Microscale Li-Ion Battery Models



The MULTIBAT Project



- Understand degradation processes in rechargeable Li-Ion Batteries through mathematical modeling and simulation.
- Focus: Li-Plating.

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Problem Setting

- Li-plating initiated at interface between active particles and electrolyte.
- Need microscale models which resolve active particle geometry.
- Huge nonlinear discrete models.
 - Cannot be solved at cell scale on current hardware.
 - Parameter studies extremely expensive, even on small domains.



Figure: Simulation of microscale battery model on $246\mu m \times 60\mu m \times 60\mu m$ domain with random electrode geometry.



Our Industry Partner



The key to the success of electric vehicles is developing the lectrology for a high-performance, multiplies and long-like battery. In April 2009, Deutsche ACCUmsthre was founded to give Datimet as planearing role in this area. The company is 100% atfiliated to the Daminer AO, With the founding of Deutsche ACCUmstre, Daminer has become one of the few car makers in the world to also develop which batteries, and since 2012 the company has been producing



Provides:

synchrotron imaging data of battery electrodes

them in Germany

industrial insights

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Imaging and Stochastic Structure Modeling

Voker Schmidt, Julian Feinauer (Ulm, Accumotive)





Visual comparison of 2D and 3D cut-outs of experimental data (left) and simulated (right) shows good agreement.

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Imaging and Stochastic Structure Modeling

Voker Schmidt, Julian Feinauer (Ulm, Accumotive)

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Modeling Approach: Complete Simulation Model



- Create realization φ of the random Laguerre tesselation.
- Construct the connectivity graph.
- For each Laguerre cell $C \in \varphi$:
 - Define constraints $A \cdot c = b$ for particle placed in centroid x of C.
 - Sample coefficients *c* that fulfill $A \cdot c = b$ form $\mathcal{N}(\mu, \Sigma)$.
 - Reconstruct particle from coefficients c.
- Smooth structure with morphological closing.

Basic Microscale Model

Variables:

 $c: {
m Li}^+$ concentration $\phi:$ electrical potential

Electrolyte:Electrodes:
$$\frac{\partial c}{\partial t} - \nabla \cdot (D_e \nabla c) = 0$$
 $\frac{\partial c}{\partial t} - \nabla \cdot (D_s \nabla c) = 0$ $-\nabla \cdot (\kappa \frac{1-t_+}{F} RT \frac{1}{c} \nabla c - \kappa \nabla \phi) = 0$ $-\nabla \cdot (\sigma \nabla \phi) = 0$

Coupling: Normal fluxes at interfaces given by Butler-Volmer kinetics

$$j_{se} = 2k\sqrt{c_e c_s (c_{max} - c_s)} \sinh\left(\frac{\eta}{2RT} \cdot F\right) \qquad \eta = \phi_s - \phi_e - U_0(\frac{c_s}{c_{max}})$$
$$N_{se} = \frac{1}{F} \cdot j_{se}$$

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Modeling of Lithium Plating Arnulf Latz, Simon Hein (DLR at Helmholtz Institute Ulm)

Two possible reaction at negative electrode (Graphite):

- Intercalation $\operatorname{Li}_{\operatorname{Electrolyte}}^{+} + e_{\operatorname{Solid}}^{-} \rightleftharpoons \operatorname{LiC}_{6,\operatorname{Solid}}$
- Lithium plating $\operatorname{Li}_{\operatorname{Electrolyte}}^{+} + e_{\operatorname{Solid}}^{-} \rightleftharpoons \operatorname{Li}_{\operatorname{Solid}}^{\Theta}$



•
$$\eta_{\rm i} = \Phi_{\rm Solid} - \varphi_{\rm Electrolyte}^{\rm Li^+} - U_0(c_{\rm Solid})$$

•
$$\eta_{\rm p} = \Phi_{\rm Solid} - \varphi_{\rm Electrolyte}^{\rm Li^+}$$

Lithium plating if $\eta_{\rm p} \le 0$ $\eta_{\rm i} + U_0(c_{\rm So}) \le 0$



Active material and ElectrolytePlated Lithium and Electrolyte $i_{\text{Inter}} = i_{\text{L}0} \left(\exp\left[\frac{F}{2RT}\eta_i\right] - \exp\left[-\frac{F}{2RT}\eta_i\right] \right)$ $i_{\text{L}i} = i_{\text{L}i,0} \left(\exp\left[\frac{F}{2RT}\eta_{\text{L}i}\right] - \exp\left[-\frac{F}{2RT}\eta_{\text{L}i}\right] \right)$ $i_{\text{L}0} = i_{\text{L},00} \cdot \sqrt{c_{\text{E}} \cdot c_{\text{S}} \cdot (c_{\text{S}}^{\max} - c_{\text{S}})}$ $i_{\text{L}i,0} = i_{\text{L}i,00} \cdot \sqrt{c_{\text{E}}}$



Discretization Oleg Iliev, Sebastian Schmidt, Jochen Zausch (Fraunhofer ITWM)

Cell centered finite volume on voxel grid + implicit Euler:

$$\begin{bmatrix} \frac{1}{\Delta t} (c_{\mu}^{(t+1)} - c_{\mu}^{(t)}) \\ 0 \end{bmatrix} + A_{\mu} \left(\begin{bmatrix} c_{\mu}^{(t+1)} \\ \phi_{\mu}^{(t+1)} \end{bmatrix} \right) = 0, \qquad c_{\mu}^{(t)}, \phi_{\mu}^{(t)} \in V_{h}$$

- Numerical fluxes on interfaces = Butler-Volmer fluxes.
- Newton scheme with algebraic multigrid solver.
- ► Implemented by Fraunhofer ITWM in ●���BEST.
- µ ∈ P indicates dependence on model parameters (e.g. temperature T, charge rate).

Model Order Reduction

▶ Reduced Model: Find $[\tilde{c}_{\mu}^{(t)}, \tilde{\phi}_{\mu}^{(t)}] \in \tilde{V}_{c} \oplus \tilde{V}_{\phi} = \tilde{V}$ solving projected equation

$$\begin{bmatrix} \frac{1}{\Delta_t} (\tilde{c}_{\mu}^{(t+1)} - \tilde{c}_{\mu}^{(t)}) \\ 0 \end{bmatrix} + \{ \boldsymbol{P}_{\tilde{\boldsymbol{V}}} \circ \boldsymbol{A}_{\mu} \} \left(\begin{bmatrix} \tilde{c}_{\mu}^{(t+1)} \\ \tilde{\phi}_{\mu}^{(t+1)} \end{bmatrix} \right) = 0.$$

Basis generation: POD of a priori selected solution trajectories, separately for *c* and φ (different scales).

Next steps:

- better a priori choices for snapshot set (instead of equidistant μ)
- ▶ effective a posteriori error bound → POD-GREEDY
- ▶ localized MOR (\rightarrow LRBMS)

Empirical Operator Interpolation

Problem: Still expensive to evaluate

$$P_{\tilde{V}} \circ A_{\mu} : \tilde{V}_{c} \oplus \tilde{V}_{\phi} \longrightarrow V_{h} \oplus V_{h} \longrightarrow \tilde{V}_{c} \oplus \tilde{V}_{\phi}.$$

Solution:

Use locality of finite volume operators:

to evaluate *M* DOFs of $A_{\mu}(c, \phi)$ need only $M' \leq C \cdot M$ DOFs of (c, ϕ) .

Approximate

$$P_{\tilde{V}} \circ A_{\mu} \approx P_{\tilde{V}} \circ (I_{M} \circ \tilde{A}_{M,\mu} \circ R_{M'}) =: P_{\tilde{V}} \circ \mathcal{I}_{M}[A_{\mu}]$$

where

 $\begin{array}{ll} R_{M'}\colon & \text{restriction to } M' \text{ DOFs needed for evaluation} \\ \tilde{A}_{M,\mu}\colon & A_{\mu} \text{ restricted to } M \text{ interpolation DOFs} \\ I_{M}\colon & \text{interpolation operator} \end{array}$

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Empirical Operator Interpolation (2)

$$P_{\tilde{V}} \circ A_{\mu} \approx P_{\tilde{V}} \circ (I_{M} \circ \tilde{A}_{M,\mu} \circ R_{M'}) =: P_{\tilde{V}} \circ \mathcal{I}_{M}[A_{\mu}]$$

Basis Generation:

- Compute operator evaluations on solution snapshots (including Newton stages).
- Iteratively extend interpolation basis with worst-approximated evaluation. Choose new interplation DOF where new vector is maximal (EI-GREEDY).
- Interpolate Butler-Volmer part of A_{μ} and $1/c \cdot \nabla c$ separately (ϕ -part of A_{μ} vanishes for solutions).
- Future: Build RB and interplation basis simultaneously using error estimator to select snapshots (POD-EI-GREEDY).



Experiments



4.600 DOFs, 20 snaphots
 T = 298*K*, *I* ∈ [0.1*C*, 1*C*]





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Experiments



- ▶ 1.749.600 DOFs, solution time: 6.5h.
- Only 2 solution snapshots.

dim \tilde{V}	11	21	30	40
rel. error c rel. error ϕ time (s) speedup	$9.26 \cdot 10^{-3} \\ 2.07 \cdot 10^{-3} \\ 82 \\ 279$	$\begin{array}{c} 3.96 \cdot 10^{-3} \\ 1.50 \cdot 10^{-3} \\ 81 \\ 285 \end{array}$	$3.05 \cdot 10^{-3} \\ 1.46 \cdot 10^{-3} \\ 79 \\ 290$	$2.93 \cdot 10^{-3} \\ 1.26 \cdot 10^{-3} \\ 81 \\ 283$



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Localized Reduced Basis Approximation

First experiments on small geometry (no enrichment, no parallelization).



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Software Design

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Software Interfaces in MULTIBAT



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Software Interfaces in MULTIBAT



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pyMOR - Model Reduction with Python



- Quick prototyping with Python.
- Seamless integration with high-performance PDE solvers.
- Comes with small NumPy/SciPy-based discretization toolkit for getting started quickly.
- BSD-licensed, fork us on Github!

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FEniCS Support inluded

- Directly interfaces FEniCS LA backend, no copies needed.
- Use same MOR code with both backends!
- Only 150 SLOC for bindings.
- Thermal block demo: 30 SLOC FEniCS + 15 SLOC wrapping for pyMOR.
- Easily increase FEM order, etc.

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Figure: 3x3 thermal block problem top: red. solution, bottom: red. error left: pyMOR solver, right: FEniCS solver

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- Automatically make sequential bindings MPI aware.
- Reduce HPC-Cluster models without thinking about MPI at all.

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 Interactively debug MPI parallel solvers.



Figure: FV solution of 3D Burgers-type equation $(27.6 \cdot 10^6 \text{ DOFs}, 600 \text{ timesteps})$ using **Dune**

Table: Time (s) needed for solution using DUNE / DUNE with pyMOR timestepping.

MPI ranks	1	2	3	6	12	24	48	96	192
DUNE pyMOR	17076 17742	8519 8904	5727 6014	2969 3139	1525 1606	775 816	395 418	202 213	107 120
overhead	3.9%	4.5%	5.0%	5.7%	5.3%	5.3%	6.0%	5.4%	11.8%

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People Involved



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Rene Milk



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Felix Schindler



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Localized Reduced Basis MultiScale method



Reduction of Maxwell's equations allowing Arbitrary Local Modifications



Reduced basis approximation for multiscale optimization problems



Reduction of microscale Li-ion battery models

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Distributed hierarchical POD computation

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Are your tall and skinny matrices not so skinny anymore?



- Computational effort for POD scales (at least) quadratically with number of snapshots.
- Hard to parallelize.
- Really slow if data does not fit into RAM.
- Idea: PODs of PODs!



HAPOD – Hierarchical Approximate POD



- Input: Assign snapshot vectors to leaf nodes β_i as input.
- At each node:
 - 1. Perform POD of input vectors with given local error tolerance.
 - 2. Scale POD modes by singular values.
 - 3. Send scaled modes to parent node as input.
- Output: POD modes at root node ρ.



HAPOD – Hierarchical Approximate POD

Theorem (Error and mode bounds)

Choose local POD error tolerances ε_T for l^2 -mean approximation error as:

$$arepsilon_{\mathcal{T}}(
ho) := rac{\sqrt{|S|}}{\sqrt{M_{
ho}}} \cdot (1-\omega) \cdot arepsilon^*, \qquad arepsilon_{\mathcal{T}}(lpha) := rac{\sqrt{|S_{lpha}|}}{\sqrt{M_{lpha} \cdot (L-1)}} \cdot \omega \cdot arepsilon^*.$$

Then:

$$\frac{1}{|\mathcal{S}|} \sum_{s \in \mathcal{S}} \|s - P(s)\|^2 \le (\varepsilon^*)^2 \quad and \quad |\operatorname{HAPOD}[\mathcal{S}, \varepsilon_{\mathcal{T}}]| \le |\operatorname{POD}(\mathcal{S}, (1 - \omega) \cdot \varepsilon^*)|.$$

Moreover:

$$egin{aligned} |\operatorname{HAPOD}[\mathcal{S}, arepsilon_{\mathcal{T}}](lpha)| &\leq |\operatorname{POD}(\mathcal{S}_{lpha}, (L-1)^{-1/2} \cdot \omega \cdot \epsilon^*)| \ &\leq \min_{N \in \mathbb{N}} (d_N(\mathcal{S}) \leq (L-1)^{-1/2} \cdot \omega \cdot \epsilon^*), \end{aligned}$$

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- 2D neutron transport equation.
- Moment closure/FV approximation.
- Varying absorbtion and scattering coefficients.
- Distributed snapshot and HAPOD computation on PALMA cluster (125 cores).





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HAPOD – Example

Himpe, Leibner, R



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Thank you for your attention!

My homepage http://stephanrave.de/

Reduced Basis Methods: Success, Limitations and Future Challenges arXiv:1511.02021

MULTIBAT http://j.mp/multibat

pyMOR - Model Order Reduction with Python
http://www.pymor.org/
arXiv:1506.07094